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FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Rainer Sturmer

ATTY, DOCKET NO.

10/573,130

13111-00035-US

INTERNATIONAL APPLICATION NO. PCT/EP04/10939

23416 CONNOLLY BOVE LODGE & HUTZ, LLP P O BOX 2207 WILMINGTON, DE 19899

PRIORITY DATE I.A. FILING DATE 10/10/2003 09/30/2004

**CONFIRMATION NO. 4511 371 FORMALITIES LETTER** 

\*OC000000020936478\*

Date Mailed: 10/23/2006

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 03/23/2006
- English Translation of the IA filed on 03/23/2006
- Copy of the International Search Report filed on 03/23/2006
- Preliminary Amendments filed on 03/23/2006
- Information Disclosure Statements filed on 03/23/2006
- Biochemical Sequence Diskette filed on 08/24/2006
- Oath or Declaration filed on 05/17/2006
- Biochemical Sequence Listing filed on 08/24/2006
- Request for Immediate Examination filed on 03/23/2006
- U.S. Basic National Fees filed on 03/23/2006
- Priority Documents filed on 03/23/2006
- Power of Attorney filed on 05/17/2006
- Specification filed on 03/23/2006
- Claims filed on 03/23/2006.
- Abstracts filed on 03/23/2006
- Drawings filed on 03/23/2006

Applicant's response filed 08/24/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/22/2006 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted

with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.
  - A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content
    of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823,
    as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
    substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the
    content of the sequence listing information recorded in computer readable form is identical to the
    written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as
    required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/573,130	PCT/EP04/10939	13111-00035-US	

FORM PCT/DO/EO/916 (371 Formalities Notice)

# IAP15 Rec'd PCT/PTO 03 NOV 2006

PTO/SB/92 (09-06)

Under the Paperwork R	eduction Act of 1995.	no persons are required to re		d Trademar	d for use through 0 k Office; U.S. DEP ion unless it display:	ARTMENT OF	COMMERCE
				Complete if Known			
Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Application Number 10/573,130-C					
FFF T	<b>RANSN</b>	ΠΤΤΔΙ	Filing Date		March 23, 200	06	
				First Named Inventor Rainer Stürm			
	For FY 200	<u> </u>	Examiner Name Not Yet Assig		ned		
Applicant clain	ns small entity status	. See 37 CFR 1.27	Art Unit		1614		
TOTAL AMOUNT O	F PAYMENT	(\$) 130.00	Attorney Docke	t No.	13111-00035	·US	
METHOD OF PA	YMENT (check al	I that apply)					
Check C	Credit Card	Money Order No	ne Other	(please idea	ntify):		
X Deposit Account	Deposit Account Nu	mber: 03-2775 Deposit Ac	count Name:	Conno	lly Bove Lodge	& Hutz LL	Р
For the abov	e-identified depos	it account, the Director i	s hereby authoriz	ed to: (che	eck all that apply	)	
x Charge	e fee(s) indicated t	pelow	Charg	ge fee(s) ir	ndicated below, e	except for th	ne filing fee
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FEE CALCULATI							
1. BASIC FILING, SI		AMINATION FEES		•			
			ARCH FEES	EXAM	NATION FEES	3	
Application Type	Fee (\$)	Small Entity Fee (\$) Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees P	aid (\$)
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Design	200	100 100		130	65	<del></del>	
Plant	200	100 300	7.7	160	80		
Reissue	300	150 500		600	300		
Provisional	200	100 0	_	0	0		
2. EXCESS CLAIM I			-	-			Smali Entity
Fee Description					•	Fee (\$)	Fee (\$)
Each claim over 20	(including Reissu	es)				50	25
Each independent cl	•	ling Reissues)				200	100
Multiple dependent	claims					360	180
<u>Total Claims</u>	Extra Claims	Fee (\$) Fee	Pald (\$)	_	fultiple Depend		
26 - 26 = HP = highest number of			·····	E	ee (\$)	Fee Paid (\$	)
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3. APPLICATION SI	ZE FEE	-			<del></del>		_
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4. OTHER FEE(S)	cification \$130	fee (no small entity disc	count)			rees	Paid (\$)
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SUBMITTED BY Signature	21 4	1//-/	Registration No.	55,421	Telephone	(302) 658	R_Q141
<b>├</b>	porter 1	Makowoku	(Attorney/Agent)	00,421			
Name (Print/Type) Ro	berte M. D. Mak	owski, Ph.D.			Date	October 3	1, 2006

SUBMITTED BY	10-1-		. ••		
Signature	Cohute Makowski	Registration No. (Attorney/Agent)	55,421	Telephone	(302) 658-9141
Name (Print/Type)	Roberte M. D. Makowski, Ph.D.			Date	October 31, 2006

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11/08/2006 GFREY1 496910 1 032775 10573130

(302) 658-9141

Telephone Number

Attorney Docket No.: 13111-00035-US



Application No. (if known): 10/573,130

on

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Dorothy L. Sciarra	
Typed or printed name of person signing Certificate	

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Response to Notification of Defective Response and Amendment (3 pages) Statement to Support Filing and Submission in Accordance with 37 CFR 1.821 - 1.825 (2 pages)

Replacement Sequence Listing (paper copy, 34 pages)

Diskette containing Replacement Sequence Listing (CRF Copy – 1 Diskette)

Copy of Notification of Defective Response (11 pages)

Fee Transmittal (1 page)

Postcard

Charge \$130.00 to deposit account 03-2775